## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Russell G. HIGUCHI Docket No: 4380US (354393)

 Patent No.: 6,814,934
 Confirmation No.: 8271

 Issued: November 9, 2004
 Group Art Unit: 1743

Serial No.: 08/968,208 Examiner: J. Snay

Filed: November 12, 1997

For: INSTRUMENT FOR MONITORING
NUCLEIC ACID AMPLIFICATION

# REQUEST FOR CERTIFICATE OF CORRECTION OF OFFICE MISTAKE UNDER 37 CFR § 1.322

Attn: Certificate Of Corrections Branch Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Patentee requests that a Certificate of Correction be issued due to Patent Office mistake in United States Letters Patent No. 6,814,934, issued November 9, 2004, as set forth in the attached PTO/SB/44 (Rev. 04-05) Certificate of Correction. Upon reviewing the claims, the patentee noted the following typographical error was made by the Patent and Trademark Office, which should be corrected as follows:

In column 29, claim 4, line 58, please change "wherein includes" to --which includes-- .

The correct text is shown in previously numbered Claim 36, corresponding to newly renumbered Claim 4 of the issued patent, of the Examiner's Supplemental Notice of Allowability dated September 3, 2004, a copy of which is attached. Claim 36 reads as follows:

"The instrument of Claim 30, which includes a sealed light transmission path between the reaction vessel and the detector."

The changes requested herein occurred as a result of Patent Office mistake such that the Certificate should be issued without fee, as provided in 37 CFR § 1.322.

No fees are believed to be due in connection with this request. However, the Director is authorized to charge any fees that may required, or credit any overpayment, to Dechert LLP Deposit Account No. 50-2778 (Order No. 375461-050US (354393)).

Ann M. Caviani Reg. No. 42,067

DECHERT LLP Customer No. 37509 Tel: 650.813.4800 Fax: 650.813.4848

## CERTIFICATE OF SERVICE UNDER 37 CFR § 1.248(a)(4)

I hereby certify that true and correct copies of a REQUEST FOR CERTIFICATE OF CORRECTION OF OFFICE MISTAKE UNDER 37 CFR § 1.322, a CERTIFICATE OF CORRECTION (PTO/SB/44), and its accompanying attachments, filed with the U.S. Patent and Trademark Office on January 29, 2010, was served in the manner indicated below, on this 29 day of January 2010, to Third Party Requester as follows:

## VIA FIRST CLASS MAIL

Jeffrey B. Oster Member, Troll Busters LLC 8339 SE 57th Street

Mercer Island, WA 98049

Respectfully submitted

Respo

Date

January 29, 2010

DECHERT LLP Customer No. 37509 Tel: 650.813.4800 Fax: 650.813.4848 Ann M. Caviani Pease Reg. No. 42,067 U.S. Patent and Trademerk Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(Also Form PTO-1050)

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 6,814,934

APPLICATION NO. : 08/968,208

ISSUE DATE November 9, 2004

INVENTORS Russell G. HIGUCHI

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below.

In column 29, line 58, claim 4, "wherein includes" should read --which includes--.

#### MAILING ADDRESS OF SENDER

Customer Number 37509 Dechert LLP P.O. Box 390460 Mountain View, CA 94039-0460

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application, Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and automitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time

you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1459

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
08/968,208	11/12/1997	RUSSELL HIGUCHI	9397	8271
22896	7590 09/03/2004		EXAMINER	
MILA KASAN, PATENT DEPT. APPLIED BIOSYSTEMS		SNAY, JEFFREY R		
	LN CENTRE DRIVE		ART UNIT	PAPER NUMBER
FOSTER CI	TY, CA 94404		1743	

DATE MAILED: 09/03/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

# Supplemental Notice of Allowability

Application No.	Applicant(s)	
08/968,208	HIGUCHI, RUSSELL	
Examiner	Art Unit	
leffrey Snay	1743	

Notice of Allowability	Examiner	Art Unit	
	Jeffrey Snay	1743	
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	olication. If not includ will be malled in due	ed course. THIS
1. A This communication is responsive to a telephone conferen	ce held September 1, 2004.		
2. A The allowed claim(s) is/are 30, 31, 35-37, 48, 39-40, 44-46	and 49; renumbered 1-12, respective	<u>rely</u> .	
3. $\square$ The drawings filed on <u>17 Merch 2004</u> are accepted by the	Examiner.		
4.	been received, been received in Application No		ition from the
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the re	quirements
5. A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which gives	itted. Note the attached EXAMINER' is reason(s) why the oath or declara	S AMENDMENT or Nation is deficient.	IOTICE OF
6. CORRECTED DRAWINGS ( as "replacement sheets") mus			
<ul><li>(a) Including changes required by the Notice of Draftspers</li></ul>	on's Patent Drawing Review ( PTO-9	948) attached	
1) hereto or 2) to Paper No./Mail Date			
<ul><li>(b) including changes required by the attached Examiner's Paper No./Mail Date</li></ul>	Amendment / Comment or in the O	ffice action of	
Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the	84(c)) should be written on the drawing ne header according to 37 CFR 1.121(c	gs in the front (not the i).	back) of
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT I</li> </ol>			Note the
Attachment(s)  1.  Notice of References Cited (PTC-892)	5. ☐ Notice of Informal Pa	atent Application (PT)	O-152)
2.  Notice of Draftperson's Patent Drawing Review (PTO-948)	6. Interview Summary		- · <b>,</b>
3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/0	Paper No./Mail Dat 8), 7. 🖾 Examiner's Amendo		
Paper No./Mail Date	8. Examiner's Stateme	nt of Reasons for Alic	wance
of Biological Material	9. Other		
		Jill A. Warden SPE Art Unit; 1743	

## **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Vincent Powers on September 2, 2004.

The application has been amended as follows:

After discussions with applicants' representative on the meaning of "thermal cycler", applicants' representative and Examiner Warden agreed to amend the independent claims to recite an "automated thermal cycler" in order to resolve any ambiguity. Previously cancelled claims 38 and 47 are being reinstated as new claims 48 and 49 because computer control is not required by such automation. A minor correction is also being made to claim 36.

A complete listing of the claims is attached hereto.

Any inquiry concerning this communication should be directed to Jill A. Warden at telephone number (571) 272-1267.

Jill A. Warden

## AMENDMENT

# Listing of the Claims (new text is underlined, deleted text is bracketed)

- 1-29. (Canceled)
- 36. (Currently Amended) An instrument for use in monitoring a nucleic acid amplification reaction comprising multiple thermal cycles, comprising:
- (a) [a] an automated thermal cycler capable of alternately heating and cooling, and adapted to receive, at least one reaction vessel containing an amplification reaction mixture comprising a target nucleic acid, reagents for nucleic acid amplification, and a detectable nucleic acid binding agent; and
- (b) a detector operable to detect a fluorescence optical signal while the amplification reaction is in progress and without opening the at least one reaction vessel, which fluorescence optical signal is related to the amount of amplified nucleic acid in the reaction vessel.
- 2 34. (Previously Presented) The instrument of claim 36, wherein the thermal cycler is adapted to receive a plurality of reaction vessels, each containing an amplification reaction mixture.

32-34. (Canceled)

- 3 35. (Previously Presented) The instrument of claim 36, wherein the detector is operable to detect a fluorescence optical signal at a wavelength at or about 570 nm.
- 4 36" (Currently Amended) The instrument of claim 36, which includes a scaled light transmission path between the reaction vessel and the detector.
- 5 37. (Previously Presented) The instrument of claim 36, wherein the sealed light transmission path is a fiber optic cable.

38. (Canceled)

7 39. (Currently Amended) A system for use in monitoring a nucleic acid amplification reaction comprising multiple thermal cycles, comprising:

- (a) at least one reaction vessel adapted to contain an amplification reaction mixture comprising a target nucleic acid, reagents for nucleic acid amplification, and a detectable nucleic acid binding agent;
- (b) [a] an automated thermal cycler capable of alternately heating and cooling such a reaction vessel, and
- (c) a detector operable to detect a fluorescence optical signal while the amplification reaction is in progress and without opening the at least one reaction vessel, which fluorescence optical signal is related to the amount of amplified nucleic acid in the reaction vessel.
- 8 40. (Previously Presented) The system of claim 20, wherein the system comprises a phurality of reaction vessels, each adapted to contain an amplification reaction mixture.
  - 41-43, (Canceled)
- 9 44. (Proviously Presented) The system of claim 39, wherein the detector is operable to detect a fluorescence optical signal at a wavelength at or about 570 nm.
- 10 As. (Previously Presented) The system of claim 35, wherein the at least one reaction vessel includes a clear or translucent cap optically coupled to the detector by a sealed light transmission path.
- 11 46. (Previously Presented) The system of claim 46, wherein the scaled light transmission path is a fiber optic cable.
  - 47. (Canceled)
- 6 48. (New) The instrument of claim 36, wherein the thermal cycler is computer-
- 12 M. (New) The system of claim 39, wherein the thermal cycler is computer-controlled.